# 一图书网, tushu007.com <<电子银行的风险管理>>

弟

#### 图书基本信息

- 书名:<<电子银行的风险管理>>
- 13位ISBN编号:9780470822432
- 10位ISBN编号:0470822430
- 出版时间:2007-10
- 出版时间:Oversea Publishing House
- 作者: Jayaram Kondabagil
- 页数:259
- 版权说明:本站所提供下载的PDF图书仅提供预览和简介,请支持正版图书。

更多资源请访问:http://www.tushu007.com



#### 内容概要

"Risk Management in Electronic Banking is a comprehensive study of the concepts and best practices in electronic banking. It fills a badly needed global requirement for not only bankers but all users of electronic banking. The book gives an excellent review of the wide scope of electronic banking on traditional banking and business methods. It then delves into the risks inherent in e-banking including strategic, operational, compliance, reputational and others... Jayaram Kondabagil, has produced an excellent work which will be the key reference for -- Mark Mobius, Managing Director, Templeton Asset Management anyone involved in electronic banking." "Risk Management in Electronic Banking is written in a simple and easy to understand style and it Ltd provides a broad overview of electronic banking. It also highlights the importance of risk management and addresses a gap in extant literature on the subject. Aimed at a global audience, the consolidated checklist at the end of the book is useful and a notable feature is that it also includes outsourcing risks, which though of recent origin has already made a big impact in financial services sector. This topical book will be a useful read for senior management executives." -- Professor R. Vaidyanathan, Finance & Control, UTI Chair Professor & Chairperso, Centre for Capital Market and Risk Management, Indian Institute of Management



## 作者简介

Jayaram Kondabagil is a management consultant based in Bangalore, India, with more than three decades of professional experience. His specialization includes risk management, information assurance, security management, business continuity planning and ban

# 第一图书网, tushu007.com <<电子银行的风险管理>>

#### 书籍目录

List of Figures List of Tables Preface Acknowledgments Foreword PART I: INTRODUCTION TO E-BANKING Evolution of e-banking Impact on traditional banking Chapter 1 E-Banking Basics E-banking components Chapter 2 E-Banking Risks Strategic risk Regulatory approval **Operational risk** Reputational risk Compliance risk Other risks **Risk management challenges** The five-pillar approach Chapter 3 Product and Service-specific Risks Internet banking Aggregation services Weblinking Bill presentment and payment Mobile banking Electronic money Cross-border New products and services PART II: RISK MANAGEMENT Chapter 4 Risk Management transactions Framework Policies and procedures **Risk management process** Operational risk management Governance and internal controls Chapter 5 Risk Management Organization Organization structure Executive risk committee Board and senior management IT management Internal and external audit Chapter 6 International Standards Basel Committee on banking supervision COBIT 40 ISO 17799 OCTAVE COSO – enterprise risk management PCI data security standard **Financial Action Task Force** Corporate governance codes Regulatory guidelines Part III: Chapter 7 Information Security Management Security objectives INFORMATION SECURITY Security risk assessment Classifi cation of controls Monitoring and testing Security controls Incident response plan **Chapter 8 Operational Controls** Personnel issues Segregation of duties Backups and off-site storage Technical issues Database management Change management Fraud management **Chapter 9 Technical Controls** Logical access controls Identifi Insurance cation and authentication Authentication methods Audit trails Network security Firewalls Information security incidents PART IV: OUTSOURCING Chapter 10 Outsourcing in Malicious code Key risks of E-Banking Types of outsourcing Material outsourcing Supervisory approach Board and senior management responsibility Chapter 11 Managing outsourcing Outsourcing policy **Outsourced Services** Outsourcing decisions Service provider due Risk assessment and control Contingency plans Monitoring and audit diligence Offshoring Customer service Chapter **Contractual provisions** 12 Outsourcing Contracts **Termination clause** Right of access clauses Confi dentiality and security clauses Offshoring contracts Business continuity clauses PART V: **BUSINESS CONTINUITY** Chapter 13 Business Continuity Management The main drivers Board Business impact analysis and senior management responsibility Components of BCM BIA **Recovery strategy** Chapter 14 Business Continuity Plan Major components of BCP methodologies Continuity management team **Recovery procedures** Resource requirements External communications Plan maintenance Awareness and training Testing of BCP Testing methods Chapter 15 Data Centers and Alternate Sites Evolution of data centers Location of the sites Mitigating concentration risk Data center design Logistics management Maintenance procedures Alternate site models External support Business continuity in real life PART VI: LEGAL AND **REGULATORY COMPLIANCE Chapter 16 Compliance Function** Organization of the compliance Board and senior management responsibility Chapter 17 Major function Role of regulators Anti-money laundering Suspicious activities **Compliance Issues** Know your customer (KYC) Privacy of customer information Information disclosures Customer education High-level review checklist Acronyms Glossary **References Index** 



### 版权说明

本站所提供下载的PDF图书仅提供预览和简介,请支持正版图书。

更多资源请访问:http://www.tushu007.com